



CAROLINE C. VINCENT, ESQ.



**BUSINESS/COMMERCIAL
DISPUTE RESOLUTION EXPERIENCE**

Caroline Vincent has mediated or arbitrated over 150 business related disputes. During her 27-year legal career, she devoted 6 years to high-end transactional practice, with significant experience in corporate/partnership/business organizations and dissolutions, franchises, distributorships, license agreements, intellectual property issues, mergers and acquisitions, international business transactions, joint ventures, non-profits, corporate finance, private placements, syndications and related securities matters, employment agreements, business and tax planning, secured transactions, venture capital, work outs, foreign disclosures and registrations, and business related environmental matters. Industry expertise includes radio stations, hotels, software manufacturers and distributors, health care, high technology and inventions, garment industry, banking and lender representation.

REPRESENTATIVE COMMERCIAL CASES MEDIATED AND ARBITRATED BY CAROLINE VINCENT

- Intellectual Property Dispute: MEDIATION between public company and former CEO/founder/ inventor over a variety of financial claims, primarily over ownership and control of the development to market of proprietary software key to the company's future valued at over \$200 million
- Law Partnership Dissolution: MEDIATION of dissolution of 3 person law partnership, resulting in agreement about allocation of receivables, payables, and on-going client matters
- Business Acquisitions: ARBITRATION of issue regarding liability of buyer for invoices generated prior to sale, assumed in writing by buyer, but representing prior relationship with seller; award for seller
- Family Owned Business: MEDIATION of dispute between father and son involving restructure of \$2,000,000 stock purchase agreement
- Automobile Dealership Franchise Disputes: Facilitation of ARBITRATION panel and also MEDIATION of various disputes between dealerships and manufacturers
- Grocery Store Acquisition/Breach of Lease: MEDIATION between major Southern California grocery chain and governmentally mandated "spin off" purchaser of store, resulting in dispute over use clause in long term low rent commercial lease assigned by tenant/grocery chain seller
- Developer/Contractor Partnership Dissolution: MEDIATION of multi-million dollar development joint venture where agreement was silent on payment of construction cost overrides
- Professional Liability Claims: MEDIATION of legal malpractice claims involving advice to trustee of trust found by State of California to be a charitable trust, and representation in litigated matter
- Business Trust & Estate Disputes: MEDIATION of several family business trust and estate matters, including dispute over management and control of multimillion-dollar business trust, between partner of deceased husband (business trustee) and wife and children of deceased husband's estate, residuary beneficiaries of business trust.